

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview

1. **Date of Submission:** 2010-09-03
2. **Agency:** 027
3. **Bureau:** 00
4. **Name of this Investment:** EPIC Operations and Maintenance (OandM)
5. **Unique Project (Investment) Identifier (UPI):** 027-00-01-02-01-1040-00
6. **What kind of investment will this be in FY 2012?:** Operations and Maintenance
 - Planning
 - Full Acquisition
 - Operations and Maintenance
 - Mixed Life Cycle
 - Multi-Agency Collaboration
7. **What was the first budget year this investment was submitted to OMB?** FY2008

8.

- a. **Provide a brief summary of the investment and justification, including a brief description of how this closes in part or in whole an identified agency performance gap, specific accomplishments expected by the budget year and the related benefit to the mission, and the primary beneficiary(ies) of the investment.**

The Federal Investigative Services (FIS) of the U.S. Office of Personnel Management (OPM) conducts 90% of the background investigations used by the Federal Government, processing over 2 million cases annually. To support processing this significant volume caseload, FIS uses a suite of information technology tools collectively known as EPIC. The EPIC acronym is derived from the system and/or applications that support the major process steps involved in conducting a background investigation: E Electronic Questionnaires for Investigations (Initiating an Investigation), P... Personnel Investigations Processing (Conducting the Investigation), I... Imaging Capability (Retention and Delivery of the Investigation), C Central Verification System (Reporting the Results of the Investigation). The EPIC environment is in a steady state of Operations and Maintenance designed to keep the production environment operational and represents a critical aspect of OPM's ability to fulfill its mission to Recruit, Retain and Honor a World-Class Workforce to Serve the American People, by ensuring that agencies have sufficient information to make decisions such as credentialing, suitability, and/or security clearance determinations. Furthermore, this investment continues to support OPM's ability to meet the timelines for conducting a background investigation as set forth by the Intelligence Reform and Terrorism Prevention Act (IRTPA) and the Office of Management and Budget (OMB).

- b. **Provide any links to relevant websites that would be useful to gain additional information on the investment including links to GAO and IG reports.**

| Title | Link |
|-------|------|
| NONE | |

9.

- a. **Provide the date of the Agency's Executive/Investment Committee approval of this investment.**
2009-08-26
- b. **Provide the date of the most recent or planned approved project charter.** 2010-09-21

10. Contact information?

- a. **Program/Project Manager Name:** *
Phone Number: *
Email: *
- b. **Business Function Owner Name (i.e. Executive Agent or Investment Owner):** Joy Fairtile
Phone Number: *
Email: *

11. What project management qualifications does the Project Manager have? (choose only one per FAC-P/PM or DAWIA): Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.

- Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/PM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.B.1: Summary of Funding

(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

| | PY-1 and earlier | PY 2010 | CY 2011 (CY Continuing Resolution) | BY 2012 | BY+1 2013 | BY+2 2014 | BY+3 2015 | BY+4 and beyond | Total |
|---|------------------------|------------|---|------------|--------------|--------------|--------------|-----------------------|-------|
| Planning: | * | * | * | * | * | * | * | * | * |
| Acquisition: | * | * | * | * | * | * | * | * | * |
| Planning & Acquisition Government FTE Costs | * | * | * | * | * | * | * | * | * |
| Subtotal Planning & Acquisition(DME): | * | * | * | * | * | * | * | * | * |
| Operations & Maintenance: | * | * | * | * | * | * | * | * | * |
| Disposition Costs (optional): | * | * | * | * | * | * | * | * | * |
| Operations, Maintenance, Disposition Government FTE Costs | * | * | * | * | * | * | * | * | * |
| Subtotal O&M and Disposition Costs (SS): | * | * | * | * | * | * | * | * | * |
| TOTAL FTE Costs | * | * | * | * | * | * | * | * | * |
| TOTAL (not including FTE costs): | * | * | * | * | * | * | * | * | * |
| TOTAL (including FTE costs): | * | * | * | * | * | * | * | * | * |
| Number of FTE represented by | * | * | * | * | * | * | * | * | * |

Table I.B.1: Summary of Funding
(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

| | PY-1 and earlier | PY 2010 | CY 2011 (CY Continuing Resolution) | BY 2012 | BY+1 2013 | BY+2 2014 | BY+3 2015 | BY+4 and beyond | Total |
|--------|------------------------|------------|---|------------|--------------|--------------|--------------|-----------------------|-------|
| Costs: | | | | | | | | | |

2. Insert the number of years covered in the column “PY-1 and earlier”: 2

3. Insert the number of years covered in the column “BY+4 and beyond”: *

4. If the summary of funding has changed from the FY 2011 President’s Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table I.C.1 Contracts Table

| Contract Status | Contracting Agency ID | Procurement Instrument Identifier (PIID) | Indefinite Delivery Vehicle (IDV) Reference ID | Solicitation ID | Alternative financing | EVM Required | Ultimate Contract Value (M) | Type of Contract/Task Order (Pricing) | Is the contract a Performance Based Service Acquisition (PBSA)? | Effective date | Actual or expected End Date of Contract/Task Order | Extent Competed | Short description of acquisition |
|-----------------|-----------------------|--|--|-------------------|-----------------------|--------------|-----------------------------|---------------------------------------|---|----------------|--|-------------------------------|---|
| Awarded | 2400 | OPM1510C0005 | N/A | OPM15-10-R-0003 | * | * | \$3.1 | Labor Hours | N | 2010-02-11 | 2012-02-10 | Not Available for Competition | Zenyon Inc (Zenyon Boyers Computer Room Support Contract) |
| Awarded | 2400 | OPM1510F0045 | GS35F0571R | Not found in FPDS | * | * | \$0.1 | Firm Fixed Price | N | 2010-04-14 | 2010-10-13 | Full and Open Competition | Comfidex |
| Awarded | 2400 | OPM1510P0247 | N/A | OPM15-10-P-0247 | * | * | \$0.0 | Firm Fixed Price | N | 2010-07-26 | 2011-07-27 | Competed under SAP | IT Data Storage |
| Awarded | 2400 | OPM1910F0016 | GS35F5054H | Not found in FPDS | * | * | \$1.2 | Firm Fixed Price | N | 2009-12-10 | 2012-12-30 | Full and Open Competition | Software AG USA |
| Awarded | 2400 | OPM1910F0021 | GS35F4984H | Not found in FPDS | * | * | \$0.1 | Firm Fixed Price | N | 2010-01-01 | 2010-12-31 | Full and Open Competition | International Business Machine Corp |
| Awarded | 2400 | OPM1910F0058 | GS35F0468J | Not found in FPDS | * | * | \$0.5 | Firm Fixed Price | N | 2010-08-01 | 2011-07-31 | Full and Open | LEVI RAY & SHOUP INC |

Table I.C.1 Contracts Table

| Contract Status | Contracting Agency ID | Procurement Instrument Identifier (PIID) | Indefinite Delivery Vehicle (IDV) Reference ID | Solicitation ID | Alternative financing | EVM Required | Ultimate Contract Value (M) | Type of Contract/Task Order (Pricing) | Is the contract a Performance Based Service Acquisition (PBSA)? | Effective date | Actual or expected End Date of Contract/Task Order | Extent Completed | Short description of acquisition |
|-----------------|-----------------------|--|--|-------------------|-----------------------|--------------|-----------------------------|---------------------------------------|---|----------------|--|--------------------|--|
| | | | | | | | | | | | | Competition | Linked to 04847 |
| Awarded | 2400 | OPM1910P0036 | N/A | Not found in FPDS | * | * | \$0.1 | Firm Fixed Price | N | 2010-01-07 | 2011-01-06 | Competed under SAP | LEVI RAY & SHOUP INC |
| Awarded | 2400 | OPM1910P0044 | N/A | OPM4910Q006 | * | * | \$0.1 | Firm Fixed Price | N | 2010-01-01 | 2010-12-31 | Competed under SAP | Oracle, USA |
| Awarded | 2400 | OPMBPA510600009 | N/A | Not found in FPDS | * | * | \$2.1 | Other (none of the above) | N | 2009-12-13 | 2010-12-12 | Competed under SAP | Dynanet Corp-- O&M Services |
| Awarded | 2400 | OPMBPA510600014 W0002 | N/A | Not found in FPDS | * | * | \$13.9 | Other (none of the above) | Y | 2009-11-06 | 2010-11-06 | Competed under SAP | List |
| Awarded | 2400 | OPMBPC510900006 | OPMBPA510600005 | | * | * | \$0.8 | | N | 2008-11-07 | | N | IT systems maintenance, design, and enhancement support. |
| Awarded | 2400 | OPMBPC510900009 | OPMBPA510600006 | | * | * | \$1.0 | | N | 2008-11-07 | | N | |
| Awarded | 2400 | OPMBPC5109000011 | OPMBPA510600003 | | * | * | \$6.0 | Time and Materials | N | 2008-12-13 | 2010-12-13 | Y | OPIS Maintenance and Enhancement |

Table I.C.1 Contracts Table

| Contract Status | Contracting Agency ID | Procurement Instrument Identifier (PIID) | Indefinite Delivery Vehicle (IDV) Reference ID | Solicitation ID | Alternative financing | EVM Required | Ultimate Contract Value (M) | Type of Contract/Task Order (Pricing) | Is the contract a Performance Based Service Acquisition (PBSA)? | Effective date | Actual or expected End Date of Contract/Task Order | Extent Completed | Short description of acquisition |
|-----------------|-----------------------|--|--|-----------------|-----------------------|--------------|-----------------------------|---------------------------------------|---|----------------|--|------------------|--|
| | | | | | | | | | | | | | t Support |
| Awarded | 2400 | OPMBPC510900012 | OPMBPA510600002 | | * | * | \$0.2 | | N | 2008-12-13 | | N | OPIS Maintenance and Enhancement Support |
| Awarded | 2400 | OPMBPC510900013 | OPMBPA510600005 | | * | * | \$0.5 | | N | 2008-12-13 | 2010-04-23 | N | OPIS Operations and Enhancement Support |
| Awarded | 2400 | OPMBPC510900014 | OPMBPA510600009 | | * | * | \$1.1 | Time and Materials | N | 2008-12-13 | 2010-12-04 | N | OPIS MAINTENANCE AND ENHANCEMENT SUPPORT |
| Awarded | 2400 | OPMBPC510900015 | OPMBPA510600014 | | * | * | \$3.8 | Time and Materials | N | 2008-12-13 | 2011-12-12 | Y | OPIS Operations and Enhancement Support |
| Awarded | 2400 | OPMBPC510900016 | OPMBPA510600014 | | * | * | \$1.5 | Time and Materials | N | 2008-12-16 | 2010-12-16 | Y | DBA Support Services |

Table I.C.1 Contracts Table

| Contract Status | Contracting Agency ID | Procurement Instrument Identifier (PIID) | Indefinite Delivery Vehicle (IDV) Reference ID | Solicitation ID | Alternative financing | EVM Required | Ultimate Contract Value (M) | Type of Contract/Task Order (Pricing) | Is the contract a Performance Based Service Acquisition (PBSA)? | Effective date | Actual or expected End Date of Contract/Task Order | Extent Completed | Short description of acquisition |
|-----------------|-----------------------|--|--|-------------------|-----------------------|--------------|-----------------------------|---------------------------------------|---|----------------|--|---------------------------|---|
| Awarded | 2400 | OPMBPC51090001Z | OPMBPA510600005 | | * | * | \$0.9 | | N | 2008-12-16 | | N | |
| Awarded | 2400 | OPMOPM1910F0019 | GS35F0330J | Not found in FPDS | * | * | \$8.5 | Firm Fixed Price | N | 2010-01-01 | 2010-12-31 | Full and Open Competition | ImmixTechnology |
| Awarded | 2400 | OPMPO0406000167 | N/A | Not found in FPDS | * | * | \$12.7 | Firm Fixed Price | N | 2009-10-01 | 2015-09-30 | Full and Open Competition | Mythics - Enterprise License Agreement (ELA) Oracle |
| Awarded | 2400 | OPMPO0409000155 | GS35F5054H | Not found in FPDS | * | * | \$5.4 | Firm Fixed Price | N | 2008-12-30 | 2012-12-29 | Full and Open Competition | Software AG USA |
| Awarded | 2400 | OPMPO5109000049 | GS35F4708G | Not found in FPDS | * | * | \$0.1 | Firm Fixed Price | N | 2009-12-16 | 2010-09-30 | Full and Open Competition | Metastorm Federal Inc. |

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3.

a. Has an Acquisition Plan been developed? If yes, please answer the questions that follow *

b. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1 *

- c. Was the Acquisition Plan approved in accordance with agency requirements *
- d. If "yes," enter the date of approval? *
- e. Is the acquisition plan consistent with your agency Strategic Sustainability Performance Plan? *
- f. Does the acquisition plan meet the requirements of EOs 13423 and 13514? *
- g. If an Acquisition Plan has not been developed, provide a brief explanation.

*

Part II: IT Capital Investments

Section A: General

1.
 - a. Confirm that the IT Program/Project manager has the following competencies: configuration management, data management, information management, information resources strategy and planning, information systems/network security, IT architecture, IT performance assessment, infrastructure design, systems integration, systems life cycle, technology awareness, and capital planning and investment control. yes
 - b. If not, confirm that the PM has a development plan to achieve competencies either by direct experience or education.

2. Describe the progress of evaluating cloud computing alternatives for service delivery to support this investment. Cloud Computing developments are being researched and monitored, but at this stage are not effective for this investment for security reasons. Continued monitoring and research efforts are on-going.

3. Provide the date of the most recent or planned Quality Assurance Plan 2009-04-08

4.
 - a. Provide the UPI of all other investments that have a significant dependency on the successful implementation of this investment.
 027-00-01-01-01-1020-00,027-00-01-98-04-0250-24,027-00-03-00-02-1010-00,027-00-02-00-01-1010-00,
 027-00-01-02-01-1020-00,027-00-01-05-02-2030-00,027-00-01-05-02-1090-00
 - b. If this investment is significantly dependent on the successful implementation of another investment(s), please provide the UPI(s). 027-00-01-02-01-1020-00

5. An Alternatives Analysis must be conducted for all Major Investments with Planning and Acquisition (DME) activities and evaluate the costs and benefits of at least three alternatives and the status quo. The details of the analysis must be available to OMB upon request. Provide the date of the most recent or planned alternatives analysis for this investment.

6. Risks must be actively managed throughout the lifecycle of the investment. The Risk Management Plan and risk register must be available to OMB upon request. Provide the date that the risk register was last updated. 2010-08-20

Section B: Cost and Schedule Performance

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

| Description of Activity | DME or SS | Agency EA Transition Plan Milestone Identifier | Planned Cost (\$M) | Actual Cost (\$M) | Planned Start Date | Actual Start Date | Planned Completion Date | Actual Completion Date | Planned Percent Complete | Actual Percent Complete |
|---------------------------------------|-----------|--|--------------------|-------------------|--------------------|-------------------|-------------------------|------------------------|--------------------------|-------------------------|
| Total EPIC Operations and Maintenance | SS | * | \$26.3 | \$23.8 | 2008-10-01 | 2008-10-01 | 2009-09-30 | 2009-09-30 | 100.00% | 100.00% |
| Total EPIC Operations and Maintenance | SS | * | \$33.0 | \$32.7 | 2009-10-01 | 2009-10-01 | 2010-09-30 | 2010-09-30 | 100.00% | 100.00% |
| Total EPIC Operations and Maintenance | SS | * | \$48.6 | \$24.6 | 2010-10-01 | 2010-10-01 | 2011-09-30 | | 68.00% | 68.00% |
| Total EPIC Operations and Maintenance | SS | * | \$37.5 | \$0.0 | 2011-10-01 | | 2012-09-30 | | 0.00% | 0.00% |
| Total EPIC Operations and Maintenance | SS | * | * | * | 2012-10-01 | * | 2013-09-30 | * | * | * |
| Total EPIC Operations and Maintenance | SS | * | * | * | 2013-10-01 | * | 2014-09-30 | * | * | * |
| Total EPIC Operations and Maintenance | SS | * | * | * | 2014-10-01 | * | 2015-09-30 | * | * | * |

2. If the investment cost, schedule, or performance variances are not within 10 percent of the current baseline, provide a complete analysis of the reasons for the variances, the corrective actions to be taken, and the most likely estimate at completion.

3. For mixed lifecycle or operations and maintenance investments an Operational Analysis must be performed annually. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements. The details of the analysis must be available to OMB upon request. Insert the date of the most recent or planned operational analysis. 2010-07-14

4. Did the Operational analysis cover all 4 areas of analysis: Customer Results, Strategic and Business Results, Financial Performance, and Innovation? yes

Section C: Financial Management Systems

| Table II.C.1: Financial Management Systems | | | |
|--|----------------|--------------------------|------------|
| System(s) Name | System acronym | Type of Financial System | BY Funding |
| * | * | * | * |

Section D: Multi-Agency Collaboration Oversight (For Multi-Agency Collaborations only)

| Table II.D.1. Customer Table: | |
|-------------------------------|-----------------------------|
| Customer Agency | Joint exhibit approval date |
| NONE | |

| Table II.D.2. Shared Service Providers | | |
|--|----------------------------|--|
| Shared Service Provider (Agency) | Shared Service Asset Title | Shared Service Provider Exhibit 53 UPI (BY 2011) |
| * | * | * |

| Table II.D.3. For IT Investments, Partner Funding Strategies (\$millions): | | | | | | | |
|--|----------------------------------|--------------------------|---------------------------|--------------------|--------------------------|---------------------------|--------------------|
| Partner Agency | Partner exhibit 53 UPI (BY 2012) | CY Monetary Contribution | CY “In-Kind” Contribution | CY Fee-for-Service | BY Monetary Contribution | BY “In-Kind” Contribution | BY Fee-for-Service |
| NONE | | | | | | | |

| Table II.D.4. Legacy Systems Being Replaced | | |
|---|-------------|-------------------------------|
| Name of the Legacy Investment of Systems | Current UPI | Date of the System Retirement |
| * | * | * |

Section E: Performance Information

Table I.E.1a. Performance Metric Attributes

| Measurement Area (For IT Assets) | Measurement Grouping (For IT Assets) | Measurement Indicator | Reporting Frequency | Unit of Measure | Performance Measure Direction | Baseline | Year Baseline Established for this measure (Origination Date) |
|-------------------------------------|---|--|---------------------|------------------------------------|-------------------------------|------------------------------|--|
| Processes and Activities | Errors | % of Investigations Returned for Corrections (National Security) | monthly | Number of completed investigations | Decrease | .50 | 2008-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2010 | 0.50 | .17 | Met | 2011-02-28 |
| | | | 2011 | .50 | TBD | Not Due | 2011-02-28 |
| | | | 2012 | .50 | TBD | Not Due | 2011-02-28 |
| | | | 2013 | .50 | TBD | Not Due | 2011-02-28 |
| | | | 2014 | .50 | TBD | Not Due | 2011-02-28 |
| Processes and Activities | Errors | % of Investigations Returned for Corrections (National Security) | monthly | Number of completed investigations | Decrease | 0.5 | 2008-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2009 | 0.05 | 0.08 | Not Met | 2010-09-17 |
| Technology | External Data Sharing | % of results e-Delivered of Total Closed (National Security) | monthly | Number of completed investigations | Increase | .42 | 2008-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target | Last Updated |

| | | | | | | | |
|------------------|--------------------------------------|--|-------------|--|----------------|---------------------------|--------------|
| | | | | | | "Met" or "Not Met" | |
| | | | 2010 | 0.45 | 0.91 | Met | 2011-02-28 |
| | | | 2011 | 0.45 | TBD | Not Due | 2011-02-28 |
| | | | 2012 | 0.45 | TBD | Not Due | 2011-02-28 |
| | | | 2013 | 0.45 | TBD | Not Due | 2011-02-28 |
| | | | 2014 | 0.45 | TBD | Not Due | 2011-02-28 |
| Technology | External Data Sharing | % of results e-Delivered of Total Closed (National Security) | monthly | Number of completed investigations | Increase | 0.42 | 2008-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2009 | 0.42 | 0.45 | Met | 2010-09-17 |
| Customer Results | New Customers and Market Penetration | % Received using e-QIP (National Security) | monthly | Number of background investigation questionnaires submitted electronically | Increase | .97 | 2008-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2010 | 0.97 | .98 | Met | 2011-02-28 |
| | | | 2011 | 0.97 | TBD | Not Due | 2011-02-28 |
| | | | 2012 | 0.97 | TBD | Not Due | 2011-02-28 |
| | | | 2013 | 0.97 | TBD | Not Due | 2011-02-28 |

| | | | | | | | |
|------------------------------|--------------------------------------|---|-------------|--|----------------|---------------------------|--------------|
| | | | | | | | |
| | | | 2014 | 0.97 | TBD | Not Due | 2011-02-28 |
| Customer Results | New Customers and Market Penetration | % Received using e-QIP (National Security) | monthly | Number of background investigation questionnaires submitted electronically | Increase | 0.97 | 2008-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2009 | 0.97 | 0.97 | Met | 2010-09-17 |
| Mission and Business Results | Staff Acquisition | End-to-End Average Time for Fastest 90% (National Security) | monthly | Average number of days from initiate to close | Decrease | 77 Days | 2008-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |
| | | | 2010 | 74 Days | 61 Days | Met | 2011-02-28 |
| | | | 2011 | 74 Days | TBD | Not Due | 2011-02-28 |
| | | | 2012 | 74 Days | TBD | Not Due | 2011-02-28 |
| | | | 2013 | 74 Days | TBD | Not Due | 2011-02-28 |
| | | | 2014 | 74 Days | TBD | Not Due | 2011-02-28 |
| Mission and Business Results | Staff Acquisition | End-to-End Average Time for Fastest 90% (National Security) | monthly | Average number of days from initiate to close | Decrease | 77 Days | 2008-10-01 |
| | | | Fiscal Year | Target | Actual Results | Target "Met" or "Not Met" | Last Updated |

| | | | | | |
|--|------|---------|---------|---------|------------|
| | 2009 | 74 days | 77 days | Not Met | 2011-03-01 |
|--|------|---------|---------|---------|------------|

* - Indicates data is redacted.